

NATIONAL PENSIONS REGULATORY AUTHORITY



NPRA/SHAREHOLDER/COM/24

NATIONAL PENSIONS ACT, 2008 (ACT 766)

KNOW YOUR CUSTOMER INFORMATION

(DECLARATION BY THE **SHAREHOLDER** OF A CORPORATE TRUSTEE/PENSION
FUND MANAGER/PENSION FUND CUSTODIAN **WHICH IS A COMPANY**)

NOTES:

- (1) *This form shall be filled by a Shareholder which is a Company.*
 - (2) **Shareholder** in relation to the applicant Corporate Trustee, Pension Fund Manager and Pension Fund Custodian.
 - (3) *The completed form shall be scanned and attached to the Excel Workbook for renewal of license/ registration.*
 - (4) *All data fields must be answered. If any data field is not applicable, please write "N/A."*
 - (5) *The responses should be inputted in the respective **work sheet** provided in your respective Reporting Code-Excel workbook.*
 - (6) *Please provide any other information which may assist the National Pensions Regulatory Authority.*
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NO.	DATAFIELD	TAXONOMY/DESCRIPTION	RESPONSE
1	Name of Company Shareholder	For listed corporate shareholders owning shares in the Corporate Trustee, Pensions Fund Management or Pension Fund Custodian, provide just the company details of the shareholders on a row. For unlisted Corporate Shareholders, provide details on the company and individual shareholders holding above 5 % within same on a row. Where a shareholder is a fund, end details at the fund level. Where a shareholder is a foreign firm, add details at corporate level.	
2	Local or Foreign	State whether the Company is a local firm or a foreign firm	
3	Address of Company	Give the physical address of the company (e.g., Hs. No. xxxxx, street xxxxx, Ridge Accra.	
4	Company Tax Identification Number	Unique Tax Identification for the individual by Ghana Revenue Authority	
5	Telephone Number of Company	Official Contact number of shareholder company for all enquiries	
6	Type of Shareholding	Type of shares allotted	
7	Company's Holding Percentage	Percentage of shares allotted on record at Registrar of Companies	
8	Total Shares Allotted to Company	Number of shares allotted on record at Registrar of Companies	
9	Directors and Shareholders of Institutional Shareholder	For listed intuitional shareholder of institutional shareholder, provide details of Directors and shareholders with shareholdings above 5%	
10	Name of Individual Shareholdings 1	If any shareholder is a company add details of individual shareholders of that company.	
11	Shareholder 1 TIN	Unique tax identification for the individual by Ghana Revenue Authority	

NO.	DATAFIELD	TAXONOMY/DESCRIPTION	RESPONSE
12	Shareholder 1 Ghana Card Number	Provide individual owning the companies Ghana card number	
13	Related Party Dealings	Are you a director or Shareholder in any other corporate trustee, pension fund manager or pension fund custodian company or a company that owns any of the above? If yes, fill in name of entity at next row under the appropriate column.	
14	Type of Shareholding	Type of Shareholding allotted at Registrar of Companies	
15	Share Percentage of Shareholder 1	Percentage of Shares allotted on record for individual within the company	

DECLARATION

I declare that to the best of my knowledge and belief the information given in this application form is correct and complete.

I certify that the documents attached to this application are true and correct copies.

I undertake to notify the Authority of any matter which affects the validity of any information given in support of my application.

After the application is approved, I undertake to notify the Authority of any material changes to, or affecting the completeness or accuracy of, the information provided in this Form as soon as possible.

- **Signature:** _____
- **Date of Application:** _____

OFFICIAL WITNESS

- **Name of Official*:** _____
- **Designation:** _____
- **Signature:** _____
- **Date of Application:** _____